

## van der Waals theory for solids

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In analogy with the well-known theory for fluids, a van der Waals theory for solids is proposed. It is shown that, in agreement with recent predictions, the competition between the van der Waals loop of the fluid and the van der Waals loop of the solid can produce three different types of phase diagrams for a simple fluid. This could be of relevance to the phase behavior of colloidal dispersions.

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### I. INTRODUCTION

The van der Waals (vdW) theory [1] is remarkable in that, although based on very simple ideas about the intermolecular interactions, it is nevertheless capable of describing the complex behavior associated with the liquid-gas transition, including its critical point, with very simple algebraic means. As such its value cannot be overestimated, not only as a pedagogical tool but also because it did pave the way to the improved vdW-like theories which lie at the heart of our present understanding of the liquid state [2].

Recently it was found, both by simulations [3] and by theory [4], that a vdW loop can develop not only in the fluid phase, as in the original vdW theory, but also in the solid phase. This then suggests that there should be a solid-phase counterpart to the original fluid-phase vdW theory. In the present study, we will introduce such a theory for the vdW loop of the solid and explore its consequences for the phase diagram. The purpose of this study is hence to yield a better understanding of the more exact results of [3,4] and to provide a simple analysis of the phase behavior of colloidal dispersions for which some of these phenomena could be observable.

In the following, we recall the approximations behind the vdW theory (Sec. II) and derive the vdW theory for the fluid (Sec. III) and the solid (Sec. IV) phases. The resulting phase behavior is discussed in Sec. V, while our conclusions are gathered in Sec. VI.

### II. THE vdW APPROXIMATION

There are many ways to present the vdW theory. In order to obtain a unified presentation for both the fluid and the solid phases we will start from the Gibbs-Bogoliubov inequality [2] but this is by no means the only way to proceed. The Gibbs-Bogoliubov inequality itself can also be derived in various ways. A short derivation goes as follows. The definition of the Helmholtz free energy  $F$  reads

$$-\beta F = \ln \int d\Gamma e^{-\beta H(\Gamma)}, \quad (2.1)$$

where  $\beta = 1/k_B T$  with  $T$  the temperature and  $k_B$  Boltzmann's constant, and  $H \equiv H(\Gamma)$ , the Hamiltonian of our system, is defined over the phase space  $\Gamma$  with  $\int d\Gamma e^{-\beta H}$  denoting the usual canonical partition function. Let  $F_0$  denote the free energy of a reference system with Hamiltonian  $H_0 \equiv H_0(\Gamma)$  defined over the same phase space  $\Gamma$  and related to  $F_0$  by a relation similar to (2.1). Subtracting both relations we obtain, after some rewriting,

$$-\beta(F - F_0) = \ln \langle e^{-\beta(H-H_0)} \rangle_0, \quad (2.2)$$

where  $\langle \rangle_0$  denotes the canonical average over the reference system. Using the convexity of the logarithm we have

$$\ln \langle e^{-\beta(H-H_0)} \rangle_0 \geq \langle \ln e^{-\beta(H-H_0)} \rangle_0, \quad (2.3)$$

where the right hand side of (2.3) equals  $-\beta \langle (H - H_0) \rangle_0$ , and combining with (2.2) we obtain

$$F - F_0 \leq \langle (H - H_0) \rangle_0 \quad (2.4)$$

which is the announced Gibbs-Bogoliubov inequality. Equation (2.4) can be rewritten as

$$F \leq F_1 = F_0 + \langle (H - H_0) \rangle_0, \quad (2.5)$$

where  $F_1$  is identical to the first-order expansion of  $F$  around  $F_0$ . When  $H$  and  $H_0$  differ only by the nature of the pair-potential we have for (2.5)

$$F \leq F_1 = F_0 + \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \rho_2^0(\mathbf{r}_1, \mathbf{r}_2) [V(r_{12}) - V_0(r_{12})], \quad (2.6)$$

where  $r_{12} = |\mathbf{r}_1 - \mathbf{r}_2|$ , while  $V(r_{12})$  and  $V_0(r_{12})$  denote, respectively, the pair potential of the system and of the reference system, and  $\rho_2^0(\mathbf{r}_1, \mathbf{r}_2)$  is the pair density of the reference system. The vdW theory is based on taking, as a reference system, a system with purely repulsive forces. To this end we will split the total pair potential,  $V = V_R + V_A$ , into a repulsive ( $V_R$ ) and an attractive ( $V_A$ ) part and take  $V_0 \equiv V_R$  so that (2.6) becomes with this choice of reference system:

$$F \leq F_1 = F_R + \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \rho_2^R(\mathbf{r}_1, \mathbf{r}_2) V_A(r_{12}), \tag{2.7}$$

where  $F_R$  and  $\rho_2^R(\mathbf{r}_1, \mathbf{r}_2)$  denote, respectively, the free energy and the pair density of a reference system with purely repulsive forces corresponding to the pair-potential  $V_R(r_{12})$ . From the exact inequality (2.7) one obtains the vdW theory by introducing three approximations. First, one takes the upper bound  $F_1$  as the estimate of the free energy  $F$ :

$$F \simeq F_R + \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \rho_2^R(\mathbf{r}_1, \mathbf{r}_2) V_A(r_{12}) \tag{2.8}$$

and, second, one approximates the exact upper bound  $F_1$  by neglecting all the correlations within the domain of  $V_A(r_{12})$ , i.e.,

$$\int d\mathbf{r}_1 \int d\mathbf{r}_2 \rho_2^R(\mathbf{r}_1, \mathbf{r}_2) V_A(r_{12}) \simeq \int d\mathbf{r}_1 \int d\mathbf{r}_2 \rho_1^R(\mathbf{r}_1) \rho_1^R(\mathbf{r}_2) V_A(r_{12}), \tag{2.9}$$

where  $\rho_1^R(\mathbf{r})$  is the one-body density of the reference system with  $V_R(r)$  as pair potential. Notice that because of (2.9) the vdW theory is a mean-field approximation. The vdW approximation,  $F_{vdW}$ , to the exact free energy,  $F$ , of a system with the pair potential,  $V = V_R + V_A$ , reads, thus,

$$F_{vdW} = F_R + \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \rho_1^R(\mathbf{r}_1) \rho_1^R(\mathbf{r}_2) V_A(r_{12}) \tag{2.10}$$

but for notational facility we will henceforth drop the subscript vdW on  $F_{vdW}$ . The final ingredient of the vdW theory, which can be considered as the third approximation, consists in approximating  $V_R$  by a simple hard-sphere (HS) potential which leads then to an explicit expression for  $F_R \equiv F_{HS}$  when the latter is obtained by thermodynamic integration of a simple HS equation of state. We will thus write the pair potential  $V(r)$  henceforth as,  $V(r) = \epsilon[\phi_{HS}(x) + \phi_A(x)]$ , where  $x = r/\sigma$  with  $\sigma$  the HS diameter:

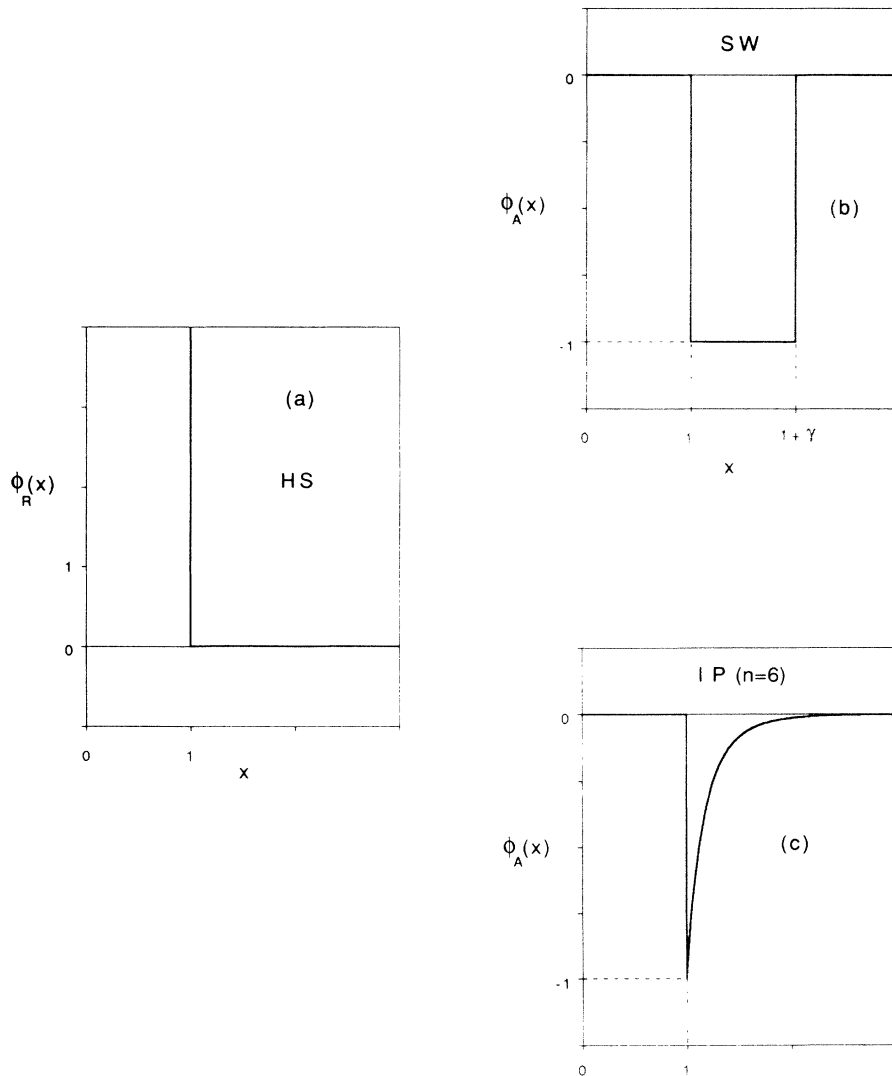


FIG. 1. In the van der Waals (vdW) theory the repulsive part ( $\phi_R$ ) of the total pair potential ( $\phi_R + \phi_A$ ) is, as shown in (a), represented by a simple hard-sphere (HS) interaction. For the attractive part ( $\phi_A$ ) we will, for the purpose of illustration, consider two cases: (b) a square-well (SW) attraction of range  $\gamma$ , and (c) an inverse-power (IP) attraction of index  $n$  [see also (2.11–2.14); the IP potential shown here corresponds to  $n = 6$ ].

$$\phi_{\text{HS}}(x) = \begin{cases} \infty, & x \leq 1 \\ 0, & x > 1 \end{cases} \quad (2.11)$$

and  $\epsilon$  the well depth of the attractions described by

$$\phi_A(x) = \begin{cases} 0, & x < 1 \\ -\phi(x), & x \geq 1, \end{cases} \quad (2.12)$$

where  $\phi(x) \geq 0$ , and  $\phi(x)$  tending to zero as  $x$  tends to infinity. Below we will moreover illustrate our results with the help of two simple forms of attraction: (a) a square well (SW) of range  $\gamma$

$$\phi(x) = \begin{cases} 1, & 1 \leq x \leq 1 + \gamma \\ 0, & x > 1 + \gamma \end{cases} \quad (2.13)$$

as the prototype of a discontinuous potential, and (b) an inverse power (IP) potential of index  $n$

$$\phi(x) = \frac{1}{x^n}; \quad n > 3 \quad (2.14)$$

as the prototype of a continuous potential (see Fig. 1). Obviously, many other choices are possible.

### III. THE vdW THEORY OF THE FLUID PHASE

Although this theory is very well known [1], in order to stress the analogy with the developments of the next section, we briefly repeat the main steps here. For a uniform fluid phase we have

$$\rho_1^{\text{HS}}(\mathbf{r}) = \rho, \quad (3.1)$$

where  $\rho$  is the number density of the  $N$  particles. Using dimensionless free energies per particle,  $f = F/N\epsilon$  and  $f_{\text{HS}} = F_{\text{HS}}/N\epsilon$ , we rewrite (2.10, 2.11) as

$$f = f_{\text{HS}} + \Delta f \quad (3.2)$$

with, on using (3.1) and (2.12),

$$\Delta f = \frac{\rho}{2\epsilon} \int d\mathbf{r} V_A(r) = -2\pi\rho\sigma^3 \int_1^\infty dx x^2 \phi(x) \quad (3.3)$$

together with

$$f_{\text{HS}} = t \left[ \ln(\rho\Lambda^3) - 1 + \int_0^\rho \frac{d\rho'}{\rho'} \left( \frac{\beta p_{\text{HS}}(\rho')}{\rho'} - 1 \right) \right], \quad (3.4)$$

where  $t = k_B T/\epsilon$  is the reduced temperature and  $\Lambda$  the thermal de Broglie wavelength of the HS. From  $f$  one can obtain the reduced chemical potential,  $\bar{\mu} = \mu/\epsilon$ , and the reduced pressure,  $\bar{p} = p\sigma^3/\epsilon$ , from

$$\bar{\mu} = \frac{\partial(\rho f)}{\partial \rho}; \quad \bar{p} = -\frac{\partial f}{\partial v}, \quad (3.5)$$

where  $v = 1/\rho\sigma^3$ . We recall also that (3.4) is nothing but the exact relation between the (HS) free energy and the (HS) equation of state or compressibility factor ( $\beta p_{\text{HS}}/\rho$ ), which results from integrating the pressure equation of

(3.5) along an isotherm. As usual in the vdW theory,  $f_{\text{HS}}$  will be prescribed by adopting an equation of state for the HS. For the latter we take a simple free-volume approximation:

$$\frac{\beta p_{\text{HS}}(\rho)}{\rho} = \frac{1}{1-\eta}; \quad \eta < 1 \quad (3.6)$$

so that  $(1-\eta)V$  is the average volume freely accessible to the HS in a fluid of volume  $V$ . We write  $\eta = \rho/\rho_0$ , so that  $\rho_0$  is the maximum density ( $\rho < \rho_0$ ) for which the HS fluid can exist. The precise value of  $\rho_0$  is immaterial here but will be discussed further in Sec. V. Notice that the standard vdW theory follows only when taking  $\rho_0 = 6/\pi\sigma^3$ , in which case  $\eta$  is the packing fraction. Using (3.6), Eq. (3.4) can be easily integrated yielding for (3.2) and (3.5):

$$f = t \left[ C_f + \ln \frac{\eta}{1-\eta} \right] - \eta\Gamma, \quad (3.7)$$

$$\bar{\mu} = t \left[ C_f + \ln \frac{\eta}{1-\eta} + \frac{1}{1-\eta} \right] - 2\eta\Gamma, \quad (3.8)$$

$$\frac{\bar{p}}{\rho_0\sigma^3} = \frac{t\eta}{1-\eta} - \eta^2\Gamma, \quad (3.9)$$

where

$$C_f = \ln(\rho_0\Lambda^3) - 1; \quad \Gamma = 2\pi\rho_0\sigma^3 \int_1^\infty dx x^2 \phi(x), \quad (3.10)$$

or

$$\Gamma_{\text{SW}} = \frac{2}{3}\pi\rho_0\sigma^3[(1+\gamma)^3 - 1] = 2\pi\rho_0\sigma^3\gamma \left( 1 + \gamma + \frac{1}{3}\gamma^2 \right), \quad (3.11a)$$

$$\Gamma_{\text{IP}} = \frac{2\pi\rho_0\sigma^3}{n-3} = 2\pi\rho_0\sigma^3 \frac{1}{n} \left( \frac{1}{1-\frac{3}{n}} \right), \quad (3.11b)$$

for, respectively, a SW attraction (a) of range  $\gamma$ , and an IP attraction (b) of index  $n$  ( $n > 3$ ). It is also seen from (3.11) that for attractions of short range ( $\gamma \ll 1$  or  $n \gg 1$ )  $1/n$  plays the same role as  $\gamma$ . The consequences of the vdW theory embodied in (3.7-3.9) are well known. The form of (3.7) leads to a vdW loop. To obtain the corresponding critical point  $(\eta_c, t_c, p_c)$  one solves

$$\frac{\partial \bar{p}}{\partial \eta} = 0; \quad \frac{\partial^2 \bar{p}}{\partial \eta^2} = 0, \quad (3.12)$$

which yields on using (3.9)

$$\eta_c = \frac{1}{3}, \quad t_c = \frac{8}{27}\Gamma, \quad \frac{p_c}{\epsilon\rho_0} = \frac{1}{27}\Gamma. \quad (3.13)$$

The complete fluid( $F_1$ )-fluid( $F_2$ ) coexistence curve can also be obtained by solving the two-phase coexistence conditions:

$$\bar{p}(\eta_1, t) = \bar{p}(\eta_2, t), \quad (3.14a)$$

$$\bar{\mu}(\eta_1, t) = \bar{\mu}(\eta_2, t), \quad (3.14b)$$

where  $\eta_1$  denotes the value of  $\eta$  for the low-density fluid phase  $F_1$ , and  $\eta_2$  that of the high-density fluid phase  $F_2$ . From (3.8,3.9) we obtain for (3.14)

$$(\eta_1 + \eta_2)(1 - \eta_1)(1 - \eta_2) = \frac{8}{27} \frac{t}{t_c}. \quad (3.15a)$$

$$\ln \frac{\eta_2(1 - \eta_1)}{\eta_1(1 - \eta_2)} = \frac{27}{8} \frac{t_c}{t} (\eta_2 - \eta_1)(2 - \eta_1 - \eta_2), \quad (3.15b)$$

which since (3.15) depends only on  $t/t_c$  and  $\eta/\eta_c$  embodies a law of corresponding states, leading to the universal coexistence curves in the  $t - \eta$  and  $p - t$  planes shown in Fig. 2. Notice also that the law of rectilinear diameters which states that the midpoints of the coexisting densities lie on a straight line in the  $t - \eta$  diagram [5],  $\eta_1 + \eta_2 \simeq 2t/3t_c$ , although very well satisfied near the critical point (see Fig. 2) is not an exact property of (3.15).

#### IV. THE vdW THEORY OF THE SOLID PHASE

For a perfect crystal with lattice sites  $\mathbf{r}_j$ , we have instead of (3.1)

$$\rho_1^{\text{HS}}(\mathbf{r}) = \sum_{j=1}^N \varphi(\mathbf{r} - \mathbf{r}_j), \quad (4.1)$$

where  $\varphi(\mathbf{r} - \mathbf{r}_j)$  describes the normalized ( $\int d\mathbf{r} \varphi(\mathbf{r}) = 1$ ) density profile around the site at  $\mathbf{r}_j$ . Substituting (4.1) into (2.10) yields for (3.2)

$$\Delta f = \frac{1}{2\epsilon N} \sum_{i=1}^N \sum_{j=1}^N \int d\mathbf{r} \int d\mathbf{r}' \varphi(\mathbf{r} - \mathbf{r}_i) \times \varphi(\mathbf{r}' - \mathbf{r}_j) V_A(|\mathbf{r} - \mathbf{r}'|). \quad (4.2)$$

while  $f_{\text{HS}}$  is still given in terms of the HS equation of state by (3.4). For the equation of state of the HS solid we will adopt again a very simple expression [cf. (3.6)]. Like in cell theory [6], we will use a free-distance approximation:

$$\frac{\beta p_{\text{HS}}(\rho)}{\rho} = \frac{1}{1 - \delta^{1/3}}; \quad \delta < 1, \quad (4.3)$$

so that  $V^{1/3}(1 - \delta^{1/3})$  is the average distance over which the HS can freely move in the HS crystal of volume  $V$ . We again write  $\delta = \rho/\rho_{\text{cp}}$ , so that  $\rho_{\text{cp}}$  is the maximum value of the density  $\rho$  for which the crystal can exist, i.e.,  $\rho_{\text{cp}}$  is the density at close packing (cp) of the given lattice structure. Except for the change from free volume to free distance, (4.3) is similar in spirit to (3.6), with  $\rho_{\text{cp}}$  being the equivalent for the solid of  $\rho_0$  for the fluid. For  $\rho \rightarrow \rho_{\text{cp}}$ , (4.3) implies

$$\frac{\beta p_{\text{HS}}(\rho)}{\rho} = \left[ 1 - \left( 1 - \frac{\rho_{\text{cp}} - \rho}{\rho_{\text{cp}}} \right)^{1/3} \right]^{-1} \simeq \frac{3\rho_{\text{cp}}}{\rho_{\text{cp}} - \rho} \equiv \frac{3}{1 - \delta} \quad (4.4)$$

and we recover the usual free-volume behavior which holds well [2] for HS at high densities [7] (cf. Fig. 3). In the opposite limit  $\delta \rightarrow 0$ , (4.3) exhibits a free-particle behavior [whereas (4.4) does not] so that (3.4) can again be easily integrated when (4.3) is used, yielding

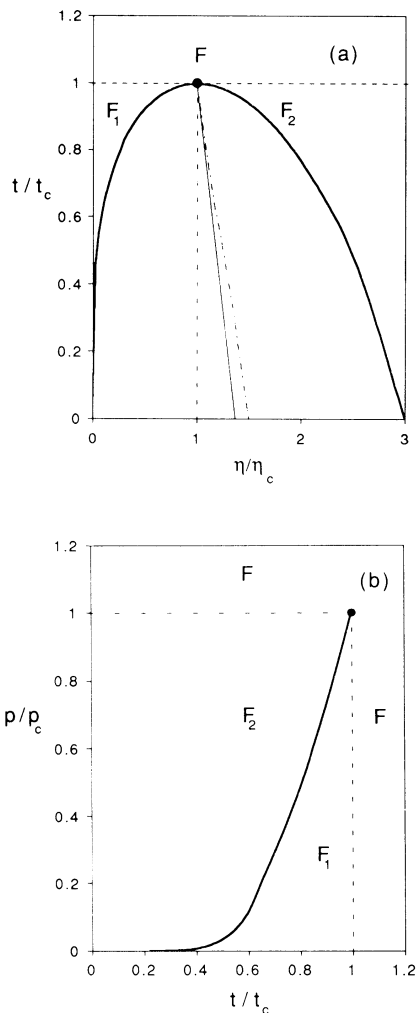


FIG. 2. The universal coexistence curves in (a) the temperature( $t$ )-density( $\eta$ ) and (b) the pressure ( $\bar{p}$ )-temperature( $t$ ) planes for the fluid( $F_1$ )- fluid( $F_2$ ) coexistence as obtained from the vdW free energy (3.7). Here  $F_1$  denotes the low-density fluid (or gas) and  $F_2$  the high-density fluid (or liquid) phase in which the fluid phase  $F$  separates for temperatures below the critical temperature. All quantities are referred to their critical point (full dot) values of (3.13). It is also seen that the midpoints of the coexisting densities (dash-dot line) is approximately a linear function of the temperature in the vicinity of the critical point (the straight line is given as a guide to the eye). This corresponds to the so-called law of rectilinear diameters [5] being approximately satisfied.

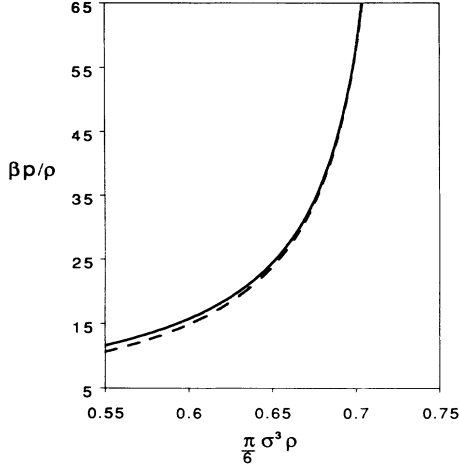


FIG. 3. The HS-compressibility factor ( $\beta p/\rho$ ) vs the HS-packing fraction ( $\pi\rho\sigma^3/6$ ) as obtained from the simple Eq. (4.3) (broken line) compared to the fit to the computer simulations of a fcc-HS solid (full line) as proposed by Hall [7].

$$\begin{aligned} f_{\text{HS}} &= t[C_s + \ln \delta - 3 \ln(1 - \delta^{1/3})] \\ &\equiv t[C_s - 3 \ln(x_1 - 1)], \end{aligned} \quad (4.5)$$

where

$$C_s = \ln(\rho_{\text{cp}}\Lambda^3) - 1; \quad \delta = \frac{\rho}{\rho_{\text{cp}}} = \frac{1}{x_1^3} \quad (4.6)$$

so that  $C_s$  is the analog of  $C_f$  [cf. (3.10)], while  $x_1 = (\rho_{\text{cp}}/\rho)^{1/3}$  is the reduced nearest-neighbor distance  $r_1$ , i.e.,  $x_1 = r_1/\sigma$ , for a crystal of density  $\rho$  and a crystal structure of close packing  $\rho_{\text{cp}}$ . To proceed with (4.2) we distinguish two cases.

#### A. $\phi(x)$ is continuous for $x > 1$

In this case, we can take into account the fact that in the HS crystal the particles are strongly localized by approximating the density profile  $\varphi(\mathbf{r} - \mathbf{r}_j)$  of (4.1) by a delta function, in which case (4.2) simply yields

$$\Delta f = \frac{1}{2\epsilon N} \sum_{i=1}^N \sum_{j=1}^N V_A(|\mathbf{r}_j - \mathbf{r}_i|) = -\frac{1}{2} \sum_{j=1}^N \phi(x_j), \quad (4.7)$$

where we have used (2.12) and taken into account that in a perfect crystal all the sites are equivalent in order to reduce the double sum of (4.7) to a simple sum over the sites  $j$  with  $x_j = |\mathbf{r}_j - \mathbf{r}_i|/\sigma$  being the reduced distance of site  $j$  to an arbitrary site  $i$  taken as origin ( $\mathbf{r}_i = 0$ ). At this stage it may be useful to notice the similarity of (4.7) with (3.3). Indeed, if we write

$$\Delta f = \frac{1}{2\epsilon} \int d\mathbf{r} V_A(r) \bar{\rho}(\mathbf{r}), \quad (4.8)$$

where  $\bar{\rho}(\mathbf{r})$  is now the distribution of sites, then for the crystal we have  $\bar{\rho}(\mathbf{r}) = \sum_j \delta(\mathbf{r} - \mathbf{r}_j)$  and (4.8) will restore

(4.7) while for a fluid we have  $\bar{\rho}(\mathbf{r}) = \rho$  and (4.8) restores (3.3).

To proceed we can rewrite the lattice sum (4.7) in terms of a sum over spherical shells of sites centered around the site at the origin, with the  $j$ th shell containing  $n_j$  sites:

$$\Delta f = -\frac{1}{2} \sum_j^{\text{sites}} \phi(x_j) = -\frac{1}{2} \sum_j^{\text{shells}} n_j \phi(x_j), \quad (4.9)$$

which is similar to the approximation already used elsewhere [8] for a purely repulsive potential. Notice that the site (shell) at the origin,  $x_0 = 0$  and  $n_0 = 1$ , does not contribute to (4.9) because  $\phi(0)$  is a constant which can always be put equal to zero, since in the presence of a HS repulsion  $\phi(x)$  need to be defined only for  $x \geq 1$  [cf. (2.12)]. Ordering the remaining shells by increasing radii ( $x_1 < x_2 < x_3 \dots$ ) we can for a decreasing  $\phi(x)$  only keep the dominant term:

$$\Delta f = -\frac{1}{2} n_1 \phi(x_1), \quad (4.10)$$

where  $n_1$  is the number of nearest neighbors and  $x_1$  the reduced nearest-neighbor distance of the given crystal structure as it appears already in (4.5,4.6). The present vdW theory, (4.5) and (4.10), yields, thus,

$$f = t[C_s - 3 \ln(x_1 - 1)] - \frac{1}{2} n_1 \phi(x_1), \quad (4.11)$$

$$\begin{aligned} \bar{\mu} &= t \left[ C_s - 3 \ln(x_1 - 1) + \frac{x_1}{x_1 - 1} \right] \\ &\quad - \frac{1}{2} n_1 \phi(x_1) + \frac{1}{6} n_1 x_1 \phi'(x_1), \end{aligned} \quad (4.12)$$

$$\frac{\bar{p}}{\rho_{\text{cp}}\sigma^3} = \frac{t}{x_1^2(x_1 - 1)} + \frac{n_1 \phi'(x_1)}{6x_1^2}, \quad (4.13)$$

which are the equivalents for the solid of (3.7–3.9). As usual,  $\phi'(x) = d\phi(x)/dx$ , etc. Since  $\phi(x) > 0$ , (4.11) implies a vdW loop for the solid. From (4.13) we obtain for the solid( $S_1$ )-solid( $S_2$ ) critical point, using (3.12)

$$\frac{3x_1^c - 2}{(x_1^c - 1)^2} = \frac{n_1}{6t_c} [x_1^c \phi''(x_1^c) - 2\phi'(x_1^c)], \quad (4.14)$$

$$\frac{2(2x_1^c - 1)}{(x_1^c - 1)(3x_1^c - 2)} = \frac{2\phi'(x_1^c) - (x_1^c)^2 \phi'''(x_1^c)}{x_1^c \phi''(x_1^c) - 2\phi'(x_1^c)}, \quad (4.15)$$

while the solid( $S_1$ )-solid( $S_2$ ) coexistence curve can be obtained from (4.12,4.13) using (3.14), yielding

$$\frac{u_2^2}{u_1 - 1} - \frac{u_1^2}{u_2 - 1} = \frac{n_1}{6t} [u_1^2 \phi'(u_2) - u_2^2 \phi'(u_1)], \quad (4.16a)$$

$$u_2(u_1 - 1) - u_1(u_2 - 1) + 3(u_1 - 1)(u_2 - 1) \ln \left( \frac{u_1 - 1}{u_2 - 1} \right)$$

$$\begin{aligned} &= [u_2^2(u_2 - 1) - u_1^2(u_1 - 1)] \\ &\quad \times \left[ \frac{u_1 \phi'(u_1) - u_2 \phi'(u_2) - 3[\phi(u_1) + \phi(u_2)]}{u_1^2 \phi'(u_2) - u_2^2 \phi'(u_1)} \right], \end{aligned} \quad (4.16b)$$

where  $u_1$  and  $u_2$  denote, respectively, the value of  $x_1$  for  $S_1$  and  $S_2$ . We will assume  $u_1 < u_2$  so that  $S_1$  is the high-density solid and  $S_2$  the low-density solid. As an illustration, we will consider now the case of an IP attraction,  $\phi(x) = 1/x^n$  (cf. Fig. 1). Equations (4.14,4.15) reduce to

$$\frac{3x_1^c - 2}{(x_1^c - 1)^2} = \frac{n_1 n (n + 3)}{6t_c (x_1^c)^{n+1}}, \tag{4.17}$$

$$\frac{2(2x_1^c - 1)}{(x_1^c - 1)(3x_1^c - 2)} = n. \tag{4.18}$$

From (4.18), one easily finds  $x_1^c$  as

$$x_1^c = \frac{\sqrt{n^2 + 16n + 16} + 5n + 4}{6n} \simeq 1 + \frac{2}{n} - \frac{4}{n^2} + \dots \tag{4.19}$$

which, on substitution of (4.19) into (4.17), yields for the critical temperature  $t_c$

$$t_c = \frac{2n_1}{3e^2} \left( 1 - \frac{3}{n} + \dots \right) \tag{4.20}$$

to dominant order in  $1/n$ . Substituting (4.19) and (4.20) into (4.13) yields for the critical pressure  $p_c/\epsilon\rho_{cp} = nt_c/4$ , for  $n \gg 1$ . The exact behavior of  $x_1^c$  and  $t_c$  vs  $1/n$  is shown in Fig. 4. From (4.16) one can also obtain the coexistence curve; a few examples are shown in Fig. 5. Notice that here there is no law of corresponding states, nor of rectilinear diameters. Also, when  $1/n$  decreases,  $\rho_c$  and  $t_c$  increase towards an upper limit, respectively,  $\rho_{cp}$  and  $2n_1/3e^2$ , whereas for the fluid  $\rho_c$  remains constant while  $t_c$  decreases towards zero [cf. (3.13)].

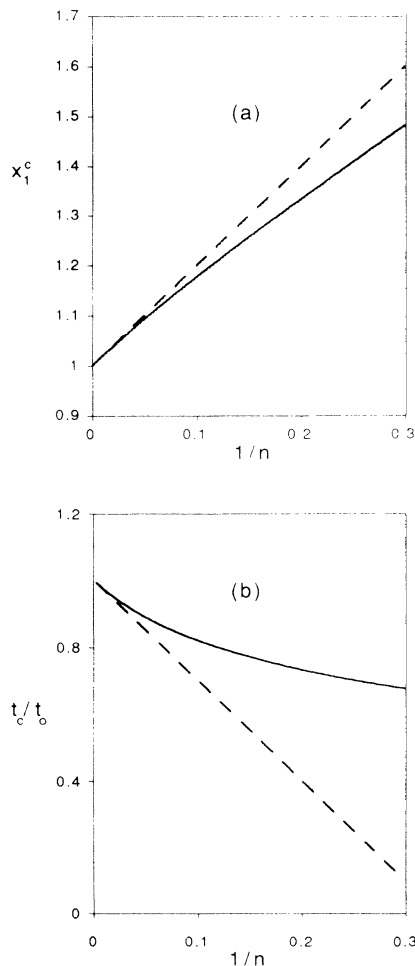


FIG. 4. The critical point values of (a) the nearest-neighbor distance ( $x_1^c$ ) and (b) reduced temperature ( $t_c$ ) for the isostructural solid-solid transition predicted by (4.11) for an IP attraction (2.14) of index  $n$ . The full lines correspond to the exact results obtained from (4.17,4.18) and the broken lines to the asymptotic expansions (4.19,4.20). Here  $t_0 = 2n_1/3e^2$ , with  $n_1$  the number of nearest neighbors and hence  $t_0 \simeq 1.08$  for a fcc structure ( $n_1 = 12$ ).

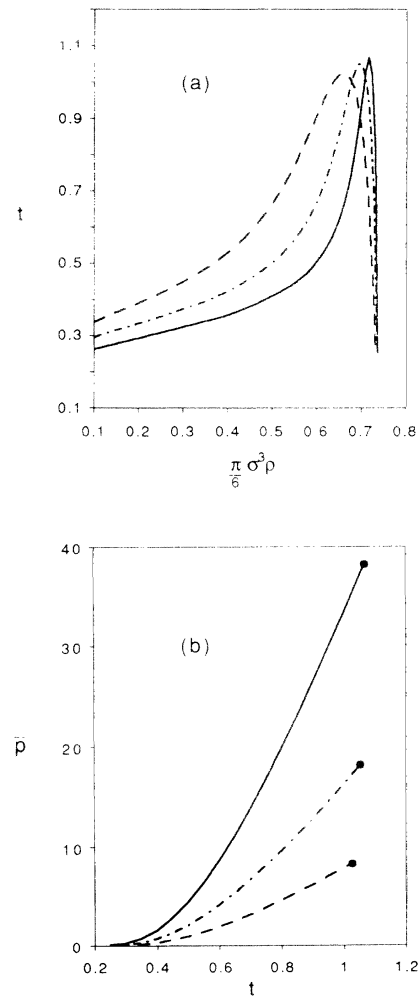


FIG. 5. A few examples of coexistence curves in the (a) temperature( $t$ )-density( $\bar{\rho}$ ) and (b) the pressure( $\bar{p}$ )-temperature( $t$ ) planes for the fcc isostructural solid( $S_1$ )-solid( $S_2$ ) transition as obtained from (4.16) for an IP attraction of index  $n = 50$  (dashed line), 100 (dash dot line), and 200 (full line).

### B. $\phi(x)$ is discontinuous for $x > 1$

In this case, we cannot use (4.7) because the discontinuity of  $\phi(x)$  will be transferred to the free energy  $f$ , which is not allowed by thermodynamics. To cope with this case we have to maintain finite the width of the density profile  $\varphi(\mathbf{r} - \mathbf{r}_j)$  of (4.1,4.2). We observe that it has been shown [9] that in the HS crystal  $\varphi(\mathbf{r})$  is very nearly Gaussian and we can hence approximate it as

$$\varphi(\mathbf{r}) \simeq \left(\frac{\alpha}{\pi}\right)^{3/2} e^{-\alpha r^2} \equiv \varphi_\alpha(r). \quad (4.21)$$

Substituting (4.21) into (4.2) and taking into account that the convolution of two Gaussians yields a Gaussian, we obtain for (4.2):

$$\Delta f = \frac{1}{2\epsilon} \sum_j^{\text{shells}} n_j \int d\mathbf{r} V_A(r) \varphi_{\alpha/2}(|\mathbf{r} - \mathbf{r}_j|) \quad (4.22)$$

or comparing with (4.8),  $\bar{\rho}(\mathbf{r}) = \sum_j \varphi_{\alpha/2}(|\mathbf{r} - \mathbf{r}_j|)$ , where in (4.22) we have put a site at the origin and sum over the spherical shells of sites around the one at the origin. Using (2.12) and (4.21) we can reduce (4.22) to

$$\Delta f = -\frac{1}{2} \sum_j^{\text{shells}} n_j \left(\frac{\alpha\sigma^2}{2\pi x_j^2}\right)^{1/2} \int_1^\infty dx x \phi(x) \times \left[ e^{-\alpha\sigma^2(x-x_j)^2/2} - e^{-\alpha\sigma^2(x+x_j)^2/2} \right] \quad (4.23)$$

where, as above,  $n_j$  denotes the number of sites in the  $j$ th shell located a distance,  $x_j = |\mathbf{r}_j|/\sigma$ , from the site at the origin. Since in a solid the Gaussians of (4.21) are very narrow, i.e.,  $\alpha\sigma^2$  is very large, we again keep only the dominant contribution to (4.23). We find that the contribution to (4.23) from the site at the origin ( $n_0 = 1$ ,  $x_0 = 0$ ) is exponentially small, while ordering the shells as before ( $x_1 < x_2 < x_3 \dots$ ) we find that (4.23) is again dominated by the shell of nearest neighbors, i.e.,

$$\Delta f \simeq -\frac{1}{2} n_1 \left(\frac{\alpha\sigma^2}{2\pi x_1^2}\right)^{1/2} \int_1^\infty dx x \phi(x) e^{-\alpha\sigma^2(x-x_1)^2/2}, \quad (4.24)$$

where we took moreover into account that in (4.23) the contribution of the second exponential is always small with respect to the first. We will illustrate here the use of (4.24) for the case of the SW potential of (2.13). For this case (4.24) reduces to

$$\begin{aligned} \Delta f &= -\frac{1}{2} n_1 \left(\frac{\alpha\sigma^2}{2\pi x_1^2}\right)^{1/2} \int_1^{1+\gamma} dx x e^{-\alpha\sigma^2(x-x_1)^2/2} \\ &= -\frac{1}{4} n_1 \left[ \text{erf } y_1 - \text{erf } y_2 \right. \\ &\quad \left. + \left(\frac{2}{\pi\alpha\sigma^2 x_1^2}\right)^{1/2} (e^{-y_1^2} - e^{-y_2^2}) \right], \end{aligned} \quad (4.25)$$

where erf denotes the error function [erf  $x =$

$(2/\sqrt{\pi}) \int_0^x dt e^{-t^2}$ ], while  $y_1$  and  $y_2$  are given by

$$\begin{aligned} y_1 &= \left(\frac{\alpha\sigma^2}{2}\right)^{1/2} (x_1 - 1); \\ y_2 &= \left(\frac{\alpha\sigma^2}{2}\right)^{1/2} [x_1 - (1 + \gamma)]. \end{aligned} \quad (4.26)$$

To proceed, we eliminate the inverse width of the Gaussians,  $\alpha\sigma^2$ , in terms of the Lindemann ratio,  $L = \sqrt{\langle r^2 \rangle}/r_1$ . For the Gaussian (4.21) we have,  $\langle r^2 \rangle = 3/2\alpha$  and hence  $L^2 = 3/2x_1^2\alpha\sigma^2$ . This allows us to rewrite (4.26) as

$$y_1 = \frac{\sqrt{3}}{2L} \left[ \frac{x_1 - 1}{x_1} \right]; \quad y_2 = \frac{\sqrt{3}}{2L} \left[ \frac{x_1 - (1 + \gamma)}{x_1} \right]. \quad (4.27)$$

By definition  $L$  vanishes at close packing ( $\rho = \rho_{\text{cp}}$  or  $x_1 = 1$ ), while it has a finite value of the order of 0.15 at

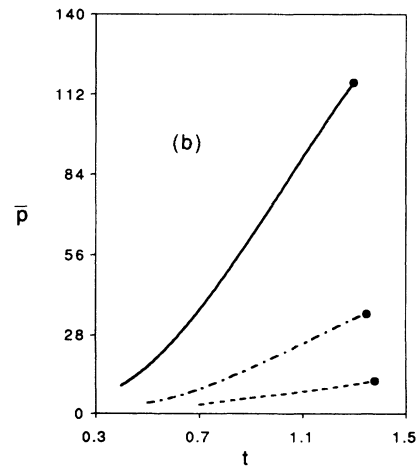
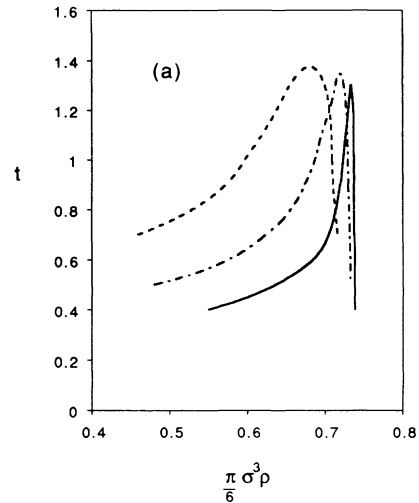


FIG. 6. The same as in Fig. 5 but for a SW attraction of range  $\gamma = 0.003$  (full line),  $0.01$  (dash dot line),  $0.03$  (dashed line) as obtained from (4.29,4.30) with  $L_0 = 0.477$  (see text).

melting [2]. For HS it was found elsewhere [10] that  $L$  varies approximately linearly with the density in between these two limits. We will write, hence,

$$L = L_m \left[ \frac{1 - \eta}{1 - \eta_m} \right] = L_0 \left[ \frac{x_1^3 - 1}{x_1^3} \right], \quad (4.28)$$

where  $L_0 = L_m/(1 - \eta_m)$  and  $L_m$  and  $\eta_m$  are, respectively, the value of  $L$  and  $\eta$  at melting of the HS crystal. Combining (4.25–4.28) with (4.5) we obtain, thus, finally for (3.2):

$$f = t[C_s - 3 \ln(x_1 - 1)] - \frac{1}{4}n_1 \left[ \operatorname{erf} y_1 - \operatorname{erf} y_2 + \frac{x_1 - 1}{\sqrt{\pi x_1 y_1}} (e^{-y_1^2} - e^{-y_2^2}) \right], \quad (4.29)$$

with

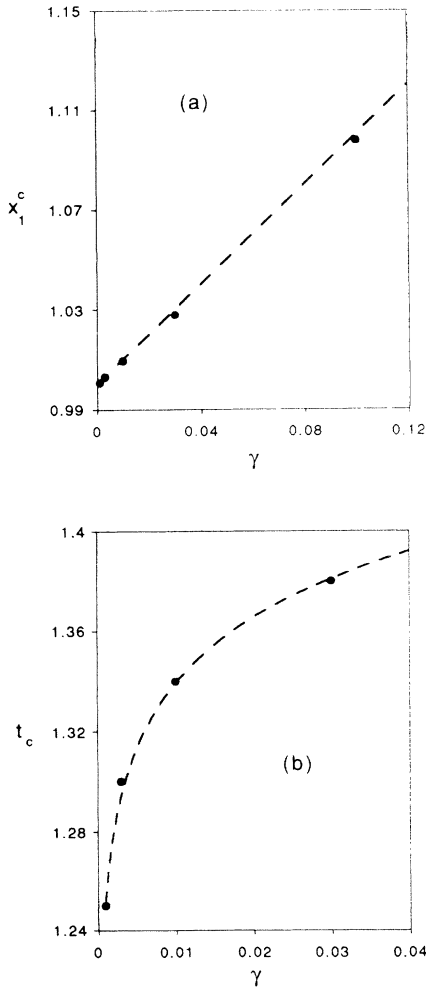


FIG. 7. The same as in Fig. 4 but for a SW attraction of range  $\gamma = 0.001, 0.003, 0.01, 0.03, 0.1$  (dots). The broken lines correspond to the small- $\gamma$  fits:  $x_1^c \simeq 1 + \gamma$  and  $t_c \simeq 1.514 + 0.038 \ln \gamma$ . Notice that while our values of  $x_1^c$  correspond closely to those of [3], our values of  $t_c$  do not seem to have a small- $\gamma$  limit.

$$y_0 = \frac{\sqrt{3}}{2L_0}; \quad y_1 = y_0 \left[ \frac{x_1^2}{x_1^2 + x_1 + 1} \right];$$

$$y_2 = y_1 \left[ 1 - \frac{\gamma}{x_1 - 1} \right], \quad (4.30)$$

where  $y_0$  is a constant to be borrowed from the HS freezing theory ( $y_0 \simeq 1.81$ , see Sec. V). Although the SW potential is simpler than the IP potential, the resulting vdW free energy (4.29) is more complicated than (4.11). This is due to the fact that the discontinuity of  $\phi_{\text{SW}}(x)$  at  $x = 1 + \gamma$  did prevent us from considering the limit  $\alpha \rightarrow \infty$ , where the density profile  $\varphi_\alpha(\mathbf{r})$  becomes a singular  $\delta$  function. The results obtained from (4.29) are nevertheless very similar to those obtained from (4.11). A few examples are shown in Fig. 6. Notice that the critical density corresponds very closely (see Fig. 7) to the value of  $x_1$  for which  $y_2$  of (4.30) changes sign, i.e.,  $x_1^c \simeq 1 + \gamma$ . Therefore the critical density  $\rho_c = \rho_{\text{cp}}/(x_1^c)^3$  increases towards the upper limit  $\rho_{\text{cp}}$  when  $\gamma$  decreases, just as in the IP case. Notice however that the critical temperature  $t_c$  of the SW case has a behavior opposite to that of the IP: here  $t_c$  decreases when  $\gamma \rightarrow 0$  (see Fig. 7).

## V. PHASE DIAGRAMS IN THE vdW APPROXIMATION

The basic macroscopic effect of the presence of an attraction is, according to the vdW approximation, to produce a vdW loop in the free energy for subcritical temperatures. It is well known that this is the case for the fluid phase, as we recalled in Sec. III, but occurs also for the solid phase, as shown in Sec. IV and in Refs. [3,4]. As is well known, this loop separates the free energy of a given phase into two branches, say a low-density branch and a high-density branch, separated by an unstable part, corresponding to a negative compressibility, where the free-energy curve turns from a convex into a concave curve. Performing Maxwell's double tangent construction on such a loop of  $f$  versus  $v = 1/\rho\sigma^3$  one finds, at the two points of tangency, the phase of the low-density branch which can coexist at the given temperature with the phase of the high-density branch, i.e., two phases having equal pressure and equal chemical potential. For instance, below the critical temperature of the fluid, the fluid phase ( $F$ ) will undergo a  $F_1$ - $F_2$  transition resulting in the coexistence (see Sec. III) between a low-density fluid phase ( $F_1$ ) and a high-density fluid phase ( $F_2$ ), as is well known from the liquid( $F_2$ )-gas( $F_1$ ) transition. Similarly, below the (different) critical temperature of the solid, the solid phase ( $S$ ) will undergo a  $S_1$ - $S_2$  transition resulting in the coexistence (see Sec. IV) between a high-density ( $S_1$ ) solid and a solid of the same structure but of a lower density ( $S_2$ ). This transition was called the expanded ( $S_2$ ) to condensed ( $S_1$ ) transition in Ref. [3]. Besides, one can always perform a double tangent construction between the free energy of the fluid and that of the solid. The corresponding fluid-solid transition will be indicated here as  $F$ - $S$ , where it is understood that, in



general, we have  $F \neq F_1 \neq F_2$  and  $S \neq S_1 \neq S_2$ . Not all these transitions are thermodynamically stable however. Only those phases are stable which belong to the convex envelope constructed with the aid of the four free-energy branches ( $F_1$ ,  $F_2$ ,  $S_1$ ,  $S_2$ ) and the three double tangents ( $F_1$ - $F_2$ ,  $S_1$ - $S_2$ ,  $S$ - $F$ ). A closer inspection of the triangles formed by the three double tangents indicates that the competition between the above two vdW loops can only produce three distinct types of phase diagrams (see Fig. 8). Notice also that for  $\phi(x)$  expressions more complicated than those considered here there could be more than one vdW loop in the solid free energy but we will not pursue this possibility here and stick to the two cases (2.13,2.14).

Before we can construct the phase diagrams, there remains to fix the relative position of the free energies. As seen from Sec. III, the free energy of the HS fluid depends only on the scaled density variable,  $\rho/\rho_0$ , where  $\rho_0$  fixes the stability limit ( $0 < \rho < \rho_0$ ) of the fluid. Similarly, in Sec. IV we show that the free energy of the HS solid depends on the scaled density variable,  $\rho/\rho_{cp}$ , where  $\rho_{cp}$  fixes the stability limit of the solid ( $0 < \rho < \rho_{cp}$ ). When, as before, each phase is taken separately the value of the two constants,  $\rho_0$  and  $\rho_{cp}$ , is immaterial except for the obvious geometric restrictions,  $\pi\sigma^3\rho_0/6 < 1$ ,  $\pi\sigma^3\rho_{cp}/6 < 1$ . When constructing the phase diagram the two phases are required and the relative free energy depends then on  $\ln(\rho_0/\rho_{cp})$ , which requires that we first fix the value of these constants. From the derivation in Sec. IV it is obvious that  $\rho_{cp}$  is determined by the value of  $\rho$  at close packing of the crystal structure considered. For example, for a face centered cubic or fcc structure we have  $\rho_{cp}\sigma^3 = \sqrt{2}$  and  $n_1 = 12$ . As to  $\rho_0$ , the only restriction is  $0.494 < \pi\rho_0\sigma^3/6 < 1$  since we know from the computer simulations [2] that the HS fluid is stable at least up to the freezing density  $\pi\rho_f\sigma^3/6 = 0.494$ . Here we will fix  $\rho_0$  in such a manner that the HS free energies, in the absence of any attraction [ $\phi(x) = 0$ ], cross at  $\pi\rho\sigma^3/6 = 0.515$  in agreement with the results obtained elsewhere [10]. This is seen to imply  $\pi\rho_0\sigma^3/6 = 0.5157$ , i.e., the HS fluid becomes unstable shortly after it becomes metastable with respect to the fcc HS solid. When improved HS equations of state are used, the HS free energies will automatically cross at this density but notice that one cannot simply improve the equation of state of one phase, and keep a simple free-volume approximation for the other phase because some of these combinations are void of HS free-energy crossing. In order to keep the proper HS free-energy crossing, one usually will have to improve both the description of the fluid and of the solid. As long as the proper HS crossing is guaranteed the results are qualitatively similar whatever the HS equations of state used. Here we have introduced an  $\rho_0$  parameter in the description of the HS fluid in order to be able to use very simple (free-volume) equations of state, just as in the original vdW theory, and then chosen the value of  $\pi\rho_0\sigma^3/6$  to be approximately 0.52 so as to secure the proper HS free energy crossing. Once these constants ( $\rho_0, \rho_{cp}$ ) are fixed, the phase diagram of the system depends only on the range of the attractions, i.e., the value of  $\gamma$  (SW) or  $1/n$  (IP) in (2.13,2.14). Notice that in the particular case of

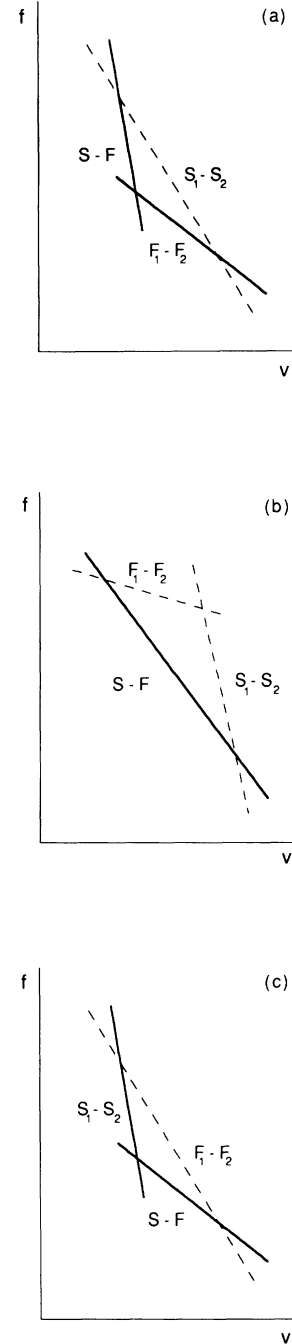


FIG. 8. To construct the convex envelope of  $f$  vs  $v$  we consider the 12 possible triangles formed by the three double tangents ( $F_1$ - $F_2$ ,  $S_1$ - $S_2$ , and  $S$ - $F$ ) of negative slope (positive pressure). For only six triangles will the  $S$ - $F$  double tangent always belong to the convex envelope. For only three of these six triangles do we have that the pressure of the coexisting solids is larger than the pressure of the coexisting fluids. The latter three triangles generate (when changing the temperature) the three types of phase diagrams found. An example of each of these three situations is shown in the figure (the double tangents belonging to the convex envelope are the full lines while the dashed lines correspond to metastable coexistences). The three cases shown belong to attractions of long (a), intermediate (b), and short (c) range.

the discontinuous SW attraction we also need the value of  $L_0$  [cf. (4.30)]. For the fcc-HS crystal, we have (see [2])  $L_m = 0.126$  and  $\eta_m = 0.545 \times (3\sqrt{2}/\pi) \simeq 0.736$ , and hence  $L_0 \simeq 0.477$ .

We now consider, as an illustration, the calculation of the phase diagrams, within the present vdW approximation, for the particular case of a SW attraction (2.13), since this is a case for which simulations are available [3]. The two phases considered are, a fluid phase described by the free energy (3.7) and a fcc crystal described by the free energy (4.29). For a fcc structure, we have  $n_1 = 12$  and  $\pi\rho_{cp}\sigma^3/6 = \pi/3\sqrt{2}$ . As explained above, the two inputs are  $\pi\rho_0\sigma^3/6 = 0.5157$  for the fluid and  $L_0 = 0.477$  for the solid. Using the reduced temperature,  $t = k_B T/\epsilon$ , and the reduced pressure,  $\bar{p} = p\sigma^3/\epsilon$ , the phase diagrams will depend only on  $\gamma$ , i.e., the range of the SW-attraction relative to the HS repulsion. In agreement with the other, more rigorous, calculations of Refs. [3,4] and with the general argument above, we find three different types of phase diagrams. For large  $\gamma$  values we find the usual type of phase diagram with a  $F_1$ - $F_2$

critical point and a  $F_1$ - $F_2$ - $S$  triple point. Lowering  $\gamma$  we find that for,  $0.3 > \gamma > 0.2$ , there is a first crossover (say for  $\gamma \simeq 0.25$ ; see Fig. 9) to a phase diagram without the high-density (liquid)  $F_2$  phase. For still lower  $\gamma$  values, we find, for  $0.02 > \gamma > 0.01$ , a second crossover (say for  $\gamma \simeq 0.015$ ; see Fig. 10) to a phase diagram with a  $S_1$ - $S_2$  critical point and a  $S_1$ - $S_2$ - $F$  triple point. The first threshold,  $\gamma \simeq 0.25$  for the disappearance of the dense fluid (liquid) phase, corresponds to the  $F_1$ - $F_2$  coexistence curve “disappearing” into the inside of the  $F$ - $S$  coexistence region, as a result of the lowering of the  $F_1$ - $F_2$  critical temperature when lowering  $\gamma$ . The second threshold,  $\gamma \simeq 0.015$  for the appearance of the expanded solid, corresponds to the  $S_1$ - $S_2$  coexistence curve “emerging” from the interior of the  $F$ - $S$  coexistence curve as a result of the increase of the  $S_1$ - $S_2$  critical density when lowering  $\gamma$ . There is thus a remarkable solid-fluid symmetry with respect to the low-high values of  $\gamma$ . These vdW results are in complete qualitative agreement, and to some extent also in quantitative agreement, with the more exact results of Refs. [3,4]. Indeed, the coexistence

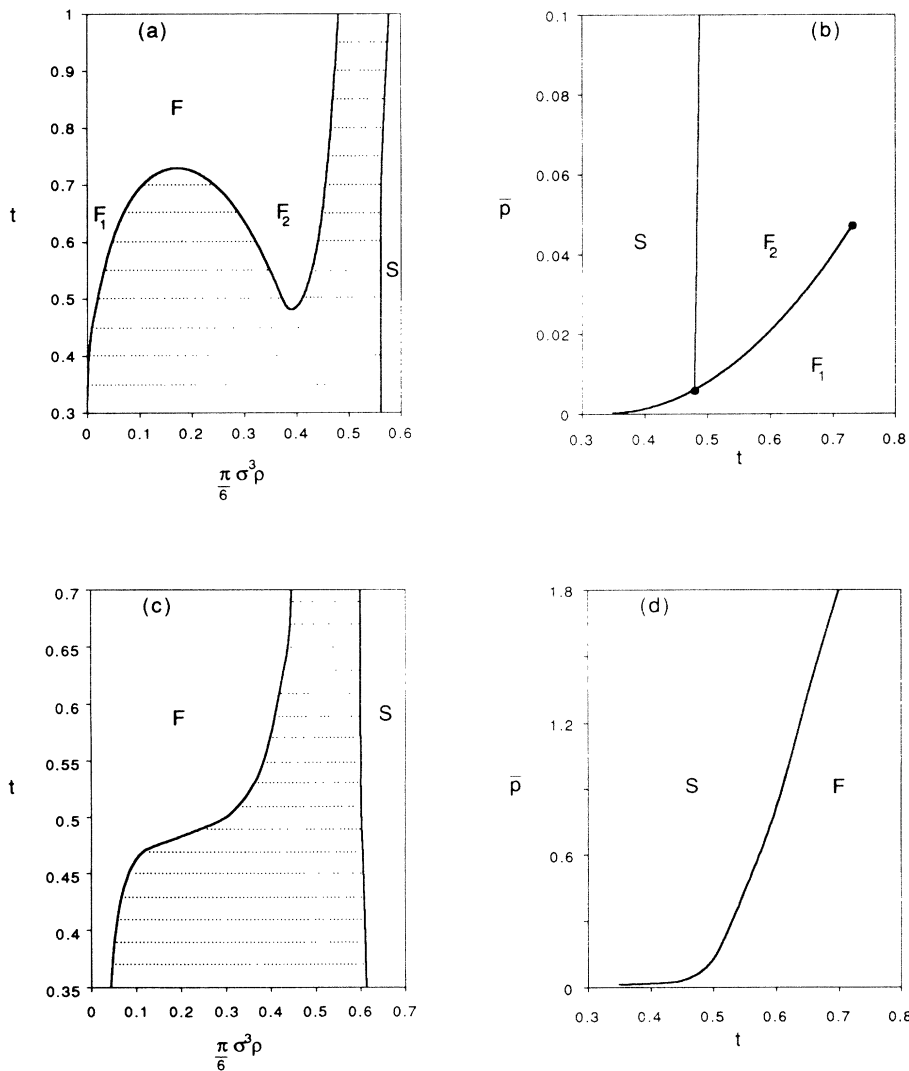


FIG. 9. Phase diagrams in the temperature( $t$ )-density( $\bar{\rho}$ ) and the pressure( $\bar{p}$ )-temperature( $t$ ) planes for the case of a SW attraction of range  $\gamma$ . Shown are two cases bracketing the threshold ( $\gamma \simeq 0.25$ ) for the disappearance of the high-density fluid (or liquid) phase. [ $\gamma = 0.3$  for (a), (b) and  $\gamma = 0.2$  for (c), (d)].

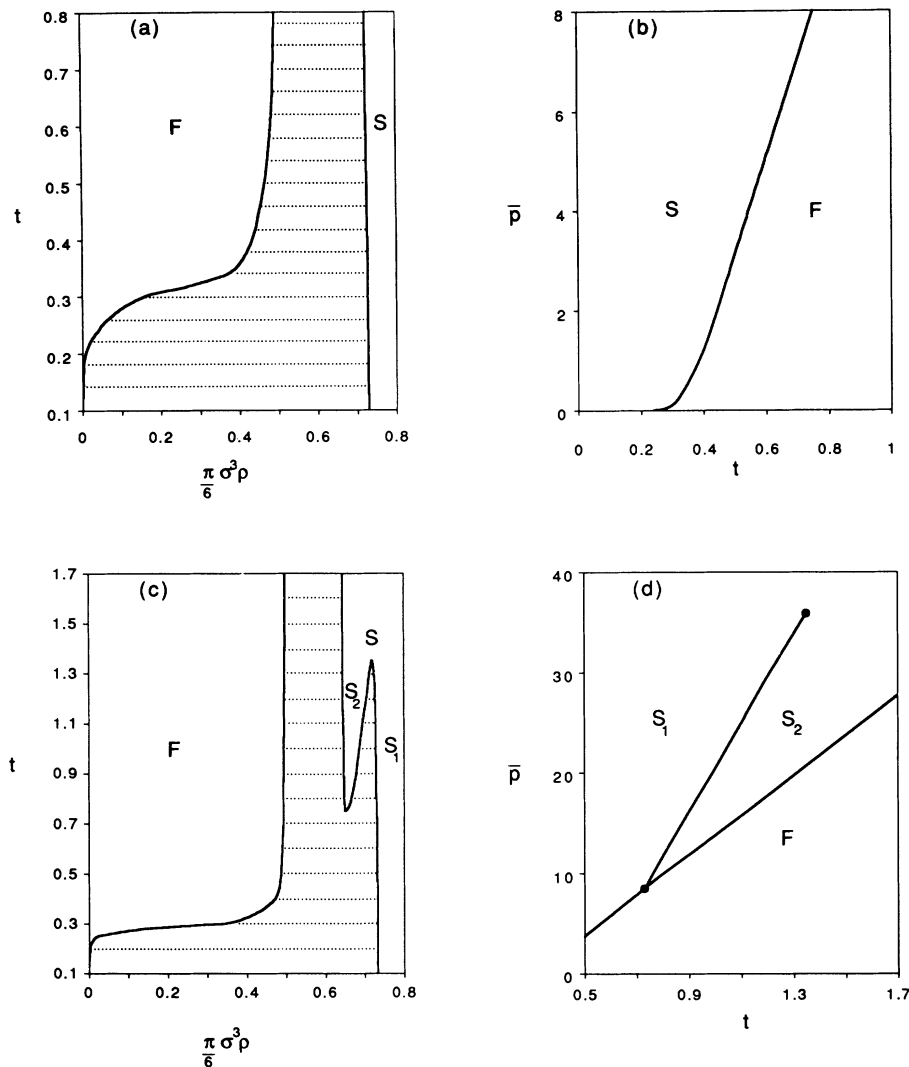


FIG. 10. The same as in Fig. 9 but for two values of  $\gamma$  bracketing the threshold ( $\gamma \simeq 0.015$ ) for the appearance of the low-density (or expanded) solid phase. [ $\gamma = 0.02$  for (a), (b) and  $\gamma = 0.01$  for (c), (d)].

curves found here are very similar to those of [3,4] while the thresholds and critical points are also comparable. Similar results are obtained for the IP attraction: here, the threshold values of  $n$  are,  $7 < n < 8$ , for the first threshold and,  $90 < n < 100$ , for the second threshold.

## VI. CONCLUSIONS

We have shown that the recent results [3,4] concerning the effect of the range of the attractions relative to the range of the repulsions of a simple fluid on its phase diagram can be semiquantitatively understood in terms of the competition of the standard vdW theory of the fluid phase with the alternative [12] but equally simple vdW theory of the solid-phase introduced above. In particular, we have shown that this competition leads to three different types of phase diagrams with a remarkable solid-fluid symmetry. For the case of a square-well attraction of range  $\gamma$  it was shown, in agreement with other find-

ings [11,3,4], that the liquid phase disappears as a stable phase for  $\gamma$  values below  $\gamma \simeq 0.25$ , while a new stable solid phase appears for  $\gamma$  values below  $\gamma \simeq 0.015$ . As discussed elsewhere [13,3,4] such small values of  $\gamma$  can perhaps be realized in well-prepared colloidal dispersions where the depletion forces due to the added polymer will account for the attraction between the colloidal particles allowing for a "simple fluid" description to be used for this otherwise complex system.

## ACKNOWLEDGMENTS

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